

P20277.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P20277

Total Pages

Inventor(s) or Application Identifier
Wataru KUBO

Title: OBJECTIVE LENS FOR OPTICAL PICK-UP

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC918 U.S. PTO
02/07/01

APPLICATION ELEMENTS

- Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
 - a. Small Entity Statement(s)
- Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets 15]
- Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- Application Data Sheet. See 37 CFR 1.76
- CD-Rom or CD-R in duplicate, large table or Computer Program (*Appendix*)

ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies
10. Assignment Papers (cover sheet & document(s))
11. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
12. English Translation Document (if applicable)
13. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. Figure of Drawing to be published 1
17. Foreign priority claimed
 - a. Claim of Priority
 - b. Certified Copy of Priority Document(s)
18. Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN
19. Other: Cover Letter Regarding Executed Application

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. _____, filed _____
Prior application information: Examiner: _____ Group Art Unit: _____

21. Amend the specification by inserting before the first line the sentence:
 This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____, filed _____

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

2/7/01

Date

Bruce H. Bernstein, Reg No. 29,027
Signature *33,329*

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

FEE TRANSMITTAL**Complete if Known**

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	W. KUBO
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$750.00)

Attorney Docket Number P20277

METHOD OF PAYMENT (check one)**FEE CALCULATION** (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN,
P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:
 Check Credit Card Money Order Other
FEE CALCULATION (fees effective 12/29/99)**FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	201	355 Utility filing fee	710
106	206	160 Design filing fee	320
107	207	245 Plant filing fee	490
108	208	355 Reissue filing fee	710
114	214	75 Provisional filing fee	150

SUBTOTAL (1) (\$) 710**CLAIMS**

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
5		0	x 18	=	0
Independent 2	-3=	0	x 80	=	0
Claims					
Multiple Dependent Claims		x		=	0

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim
109	80	209 40 Reissue independent claims over original patent
110	18	210 9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00**ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	65
127	50	227 Surcharge - late provisional filing fee or cover sheet	25
139	130	139 Non-English specification	130
147	2,520	147 For filing a request for reexamination	2,520
112	920*	112 Requesting publication of SIR Prior to Examiner action	920*
113	1,840*	113 Requesting publication of SIR after Examiner action	1,840*
115	110	215 Extension for response within 1st month	55
116	390	216 Extension for response within 2nd month	195
117	890	217 Extension for response within 3rd month	445
118	1,390	218 Extension for response within 4th month	695
128	1,890	228 Extension for response within 5th month	945
119	310	219 Notice of Appeal	155
120	310	220 Filing a brief in support of an appeal	155
121	270	221 Request for oral hearing	135
138	1,510	138 Petition to institute a public use proceeding	1,510
140	110	240 Petition to revive unavoidably abandoned application	55
141	1,240	241 Petition to revive unintentionally abandoned application	620
142	1,240	242 Utility issue fee (or reissue)	620
143	440	243 Design issue fee	220
144	600	244 Plant issue fee	300
122	130	122 Petitions to the Commissioner	130
123	50	123 Petitions related to provisional applications	50
126	240	126 Submission of IDS	240
581	40	581 Recording each patent assignment per property (times number of properties)	40
146	710	246 Filing a submission after final rejection (37 CFR 1.129(a))	355
149	710	249 For each additional invention to be examined (37 CFR 1.129(b))	355
179	710	279 Request for Continued Examination (RCE)	355
169	900	169 Request for expedited examination of a design application	900

SUBTOTAL (3) (\$) 40

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

Date 2/1/01

Deposit Account User ID

FE277.A01



PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wataru KUBO

Applications Branch

Appln. No.: Not yet assigned

Filed: Concurrently herewith

For: OBJECTIVE LENS FOR OPTICAL PICK-UP

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on February 6, 2001, and pages 1 - 27 of the specification (with Figures 1 - 23C) which were earlier forwarded to the undersigned by facsimile by Applicant's Japanese representative on February 5, 2001. Further, the undersigned has been advised by the Japanese representative that the inventors had reviewed the identical application before executing the declaration.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

PZ0277.A01

Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Wataru KUBO

Bruce H. Bernstein Reg. No.
Bruce H. Bernstein
Reg. No. 29,027

February 6, 2001
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